



2171 #6 BT  
6-9-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Stefan Berndt  
Appl. No.: 09/997,591  
Conf. No.: 6228  
Filed: November 28, 2001  
Title: UNIT FOR MANAGING DATA STORED IN A DATA PROCESSING  
DEVICE  
Art Unit: 2171  
Examiner: Unknown  
Docket No.: 112740-357

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2. Information Disclosure statement – PTO 1449 - references
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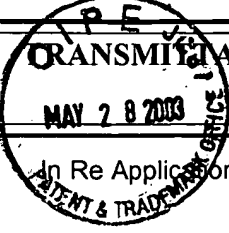
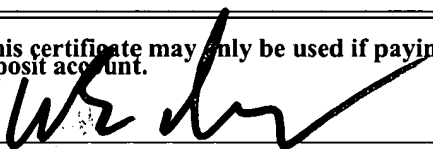
on May 23, 2003.

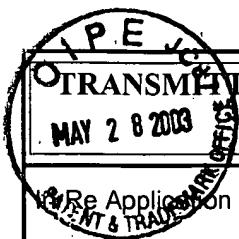
Respectfully submitted,

BELL, BOYD & LLOYD LLC

Robert Baccieri  
Name of Person Mailing Correspondence

[Signature]  
Signature

 <b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))		Docket No. 112740-357	
In Re Application: <b>Stefan Berndt</b>			
Serial No. 09/997,591	Filing Date November 28, 2001	Examiner	Group Art Unit 2171
<b>UNIT FOR MANAGING DATA STORED IN A DATA PROCESSING DEVICE</b>			
<b>Payment of Fee</b> (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))			
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<p>*This certificate may only be used if paying by deposit account.</p> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;">             _____            Signature         </div> <div style="width: 45%;">           Dated: May 23, 2003         </div> </div>			
<div style="display: flex; justify-content: space-between;"> <div style="width: 60%;"> <b>William E. Vaughan (Reg. No. 39,056)</b>  <b>Bell Boyd &amp; Lloyd LLC</b>  <b>P.O. Box 1135</b>  <b>Chicago, Illinois 60690-1135</b>  <b>Phone: (312) 807-4292</b> </div> <div style="width: 35%; text-align: center;"> <b>RECEIVED</b>  <b>MAY 29 2003</b>  <b>Technology Center 2100</b> </div> </div>			
CC:			



**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
112740-357

Re Application Of: **Stefan Berndt**

Serial No.	Filing Date	Examiner	Group Art Unit
09/997,591	November 28, 2001		2171

Title: **UNIT FOR MANAGING DATA STORED IN A DATA PROCESSING DEVICE**

Address to:  
**Assistant Commissioner for Patents**  
**Washington, D.C. 20231**

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

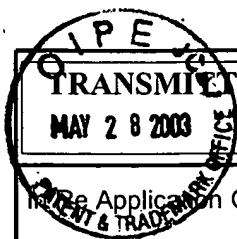
2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
112740-357

Re Application Of: **Stefan Berndt**

Serial No.	Filing Date	Examiner	Group Art Unit
09/997,591	November 28, 2001		2171

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**37 CFR 1.97(c)**

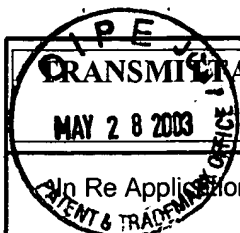
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**OR**

☐ the fee set forth in 37 CFR 1.17(p).

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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
112740-357

In Re Application: **Stefan Berndt**

Serial No.

09/997,591

Filing Date

November 28, 2001

Examiner

Group Art Unit

2171

**UNIT FOR MANAGING DATA STORED IN A DATA PROCESSING DEVICE**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below. A duplicate copy of this sheet is enclosed.
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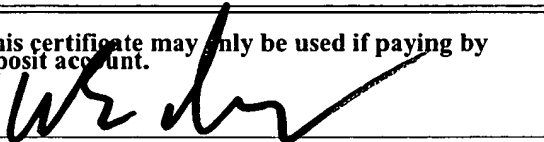
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\_\_\_\_\_  
Signature

Dated: May 23, 2003

William E. Vaughan (Reg. No. 39,056)  
Bell Boyd & Lloyd LLC  
P.O. Box 1135  
Chicago, Illinois 60690-1135  
Phone: (312) 807-4292

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INFORMATION DISCLOSURE STATEMENT

Technology Center 2100

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which are enclosed, be made during the course of examination of the above-identified application for United States patent.

**OTHER DOCUMENTS**


Chen et al., "The Design and Implementation of a Full-Fledged Multiple DBMS", pages 67-69

Applicant looks forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY

  
William E. Vaughan

Reg. No.39,056

P.O. Box 1135

Chicago, Illinois 60690-1135

Phone: (312) 807-4292

Dated: May 23, 2003